

**PV BOOK – EEA MODEL BY DAVID CAMPBELL BANNERMAN MEP –
APPENDIX OF AMENDED AGREEMENT**

**A TRACKED CHANGES VERSION OF ‘REGULAR’ MAIN EEA AGREEMENT
SHOWING AMENDMENTS TO TURN THIS INTO AN ‘EEA LITE’ AGREEMENT
FOR ILLUSTRATION ONLY – TO DEMONSTRATE HOW FEW AMENDMENTS
ARE REQUIRED TO REFINE THIS EXISTING EEA AGREEMENT.**

Note: square brackets denote separate attachments such as Annexes or Protocols which are not shown, and will need updating and amendments. These are marked as e.g. [Protocol 12]. These notes are on the main part of the EEA Agreement only

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 1

**AGREEMENT ON THE EUROPEAN
ECONOMIC AREA
(UK VARIATION – ‘EEA LITE’)**

(OJ No L 1, 3.1.1994, p. 3; and EFTA States' official gazettes)

Amended by:

1. Protocol Adjusting the Agreement on the European Economic Area of 17 March 1993 (OJ No L 1, 3.1.1994, p.

572; and EFTA States' official gazettes).

2. EEA Council Decision No 1/95 of 10 March on the entry into force of the Agreement on the European Economic

Area for the Principality of Liechtenstein (OJ No L 86, 20.4.1995, p. 58; EEA Supplement No 14, 20.4.1995, p. 1).

3. Agreement on the participation of the Czech Republic, the Republic of Estonia, the Republic of Cyprus, the Republic of Latvia, the Republic of Lithuania, the Republic of Hungary, the Republic of Malta, the Republic of

Poland, the Republic of Slovenia and the Slovak Republic in the European Economic Area of 14 October 2003

(OJ No L 130, 29.4.2004, p. 11; EEA Supplement No 23, 29.4.2004, p. 1).

4. Agreement on the participation of Bulgaria and Romania in the European Economic Area of 25 July 2007 (OJ No

L 221, 25.8.2007, p 15; EEA supplement No 39, 26.6.2008, p. 1).

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 2

TABLE OF CONTENTS

PREAMBLE

PART I OBJECTIVES AND PRINCIPLES

PART II FREE MOVEMENT OF GOODS

Chapter 1 Basic principles

Chapter 2 Agricultural and fishery products

Chapter 3 Cooperation in customs-related matters and trade facilitation

Chapter 4 Other rules relating to the free movement of goods

Chapter 5 Coal and steel products

PART III FREE MOVEMENT OF PERSONS, SERVICES AND CAPITAL

Chapter 1 Workers and self-employed persons

Chapter 2 Right of establishment

Chapter 3 Services

Chapter 4 Capital

Chapter 5 Economic and monetary policy cooperation

Chapter 6 Transport

PART IV COMPETITION AND OTHER COMMON RULES

Chapter 1 Rules applicable to undertakings

Chapter 2 State aid

Chapter 3 Other common rules

PART V HORIZONTAL PROVISIONS RELEVANT TO THE FOUR FREEDOMS

Chapter 1 Consumer protection

Chapter 2 Environment

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Chapter 1 Social policy

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Chapter [3](#) Statistics

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Chapter [4](#) Company law

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PART VI COOPERATION OUTSIDE THE FOUR FREEDOMS 15.11.2011 - Updated
EEA AGREEMENT, Main Part p. 3

PART VII INSTITUTIONAL PROVISIONS

Chapter 1 The structure of the association

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Section 1 The EEA Council

Section [1](#) The [EU-UK](#) Joint Committee

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Section [2](#) Parliamentary cooperation – the [EU-UK Joint Parliamentary Committee](#)

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Chapter 2 The decision-making procedure

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Chapter 3 Homogeneity, surveillance procedure and settlement of disputes

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Section 4 Cooperation between economic and
social partners

Section 1 Homogeneity

Section 2 Surveillance procedure

Section 3 Settlement of disputes

Chapter 4 Safeguard measures

PART VIII FINANCIAL MECHANISM

PART IX GENERAL AND FINAL PROVISIONS

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 4

{1}_AGREEMENT ON THE EUROPEAN ECONOMIC AREA

{2}THE EUROPEAN COMMUNITY,

THE KINGDOM OF BELGIUM,

THE REPUBLIC OF BULGARIA

THE CZECH REPUBLIC,

THE KINGDOM OF DENMARK,

THE FEDERAL REPUBLIC OF GERMANY,

THE REPUBLIC OF ESTONIA,
IRELAND,
THE HELLENIC REPUBLIC,
THE KINGDOM OF SPAIN,
THE FRENCH REPUBLIC,
THE ITALIAN REPUBLIC,
THE REPUBLIC OF CYPRUS,
THE REPUBLIC OF LATVIA,
THE REPUBLIC OF LITHUANIA,
THE GRAND DUCHY OF LUXEMBOURG,
THE REPUBLIC OF HUNGARY,
MALTA,
THE KINGDOM OF THE NETHERLANDS,
THE REPUBLIC OF AUSTRIA,
THE REPUBLIC OF POLAND,
THE PORTUGUESE REPUBLIC,
ROMANIA
THE REPUBLIC OF SLOVENIA,
THE SLOVAK REPUBLIC,
THE REPUBLIC OF FINLAND,
THE KINGDOM OF SWEDEN,

[\[& CROATIA TO BE ADDED TO MAKE IT 27 NATIONS\]](#)

AND

[THE UNITED KINGDOM OF GREAT BRITAIN AND NORTHERN IRELAND.](#)

[\[AND CROWN TERRITORIES – a possible option \]](#)

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BRITAIN AND NORTHERN IRELAND.

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Notes

{1} As amended by the Adjusting Protocol and subsequently by the 2004 EEA Enlargement Agreement (OJ No L 130, 29.4.2004, p. 3 and EEA Supplement No 23, 29.4.2004, p. 1), provisionally applicable as of 1.5.2004, e.i.f. 6.12.2005 and subsequently by the 2007 EEA Enlargement Agreement (OJ No L 221, 25.8.2007, p. 15; EEA supplement No 39, 26.6.2008, p.1), provisionally applicable as of 1.8.2007, e.i.f. 9.11.2011.

{2} As amended by the 2004 EEA Enlargement Agreement (OJ No L 130, 29.4.2004, p. 3 and EEA Supplement No 23, 29.4.2004, p. 1), provisionally applicable as of 1.5.2004, e.i.f. 6.12.2005, and subsequently by the 2007 EEA Enlargement Agreement (OJ No L 221, 25.8.2007, p. 15; EEA supplement No 39, 26.6.2008, p. 1), provisionally applicable as of 1.8.2007, e.i.f. 9.11.2011.

{3} Austria, Finland and Sweden acceded the European Union on 1 January 1995.

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 5

[]{4}

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hereinafter referred to as the CONTRACTING PARTIES;

CONVINCED of the contribution that a European Economic Area [and its variations](#) bring to the construction of a Europe based on peace, democracy and human rights;

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REAFFIRMING the high priority attached to the privileged relationship between the European [Union](#), its Member States and the [United Kingdom as an EFTA State](#), which is based on proximity, long-standing common values and European identity;

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DETERMINED to contribute, on the basis of market economy, to world-wide trade liberalization and cooperation, in particular in accordance with the provisions of the General Agreement on Tariffs and Trade, [the World Trade Organization](#) and the Convention on the Organisation for Economic Cooperation and Development;

CONSIDERING the objective of establishing a dynamic [European Economic Area](#), based on common rules [for relevant trade](#) and equal conditions of competition and providing for the adequate means of enforcement including at the judicial level, and achieved on the basis of equality and reciprocity and of an overall balance of benefits, rights and obligations for the Contracting Parties;

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DETERMINED to provide for the fullest possible realization of the free movement of goods, [services](#), [capital](#) [and workers](#) within the whole European Economic Area, as

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well as for strengthened and broadened cooperation in flanking and horizontal policies;

AIMING to promote a harmonious development of the European Economic Area and convinced of the need to contribute through the application of this Agreement to the reduction of economic and social regional disparities;

DESIROUS of contributing to the strengthening of the cooperation between the members of the European Parliament and of the [UK Westminster Parliament](#) as well as between the social partners in the European [Union](#) and in the EFTA States;

CONVINCED of the important role that individuals will play in the European Economic Area through the exercise of the rights conferred on them by this Agreement and through the judicial defence of these rights;

DETERMINED to preserve, protect and improve the quality of the environment and to ensure a prudent and rational utilization of natural resources on the basis, in particular, of the principle of sustainable development, as well as the principle that precautionary and preventive action should be taken [by the EU and at the UK national level](#);

NOTING the importance of an improved standard of living and improved working conditions within the European Economic Area;

[Notes](#)

{4} Austria, Finland and Sweden acceded the European Union on 1 January 1995.

{5} Reference to "the Swiss Confederation" deleted by the Adjusting

Protocol.15.11.2011 - Updated EEA AGREEMENT, Main Part p. 6

DETERMINED to promote the interests of consumers and to strengthen their position in the market place, aiming at a high level of consumer protection;

ATTACHED to the common objectives of strengthening the scientific and technological basis of European industry and of encouraging it to become more competitive at the international level;

CONSIDERING that the conclusion of this Agreement shall not prejudice in any way the possibility of any EFTA State to accede to the European [Union, nor of any EU member state withdrawing from the European Union under Article 50 of the Lisbon Treaty and joining EFTA and signing a similar agreement](#);

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Deleted: the development of the social dimension, including equal treatment of men and women, in the European Economic Area and wishing to ensure economic and social progress and to promote conditions for full employment,

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WHEREAS, in full deference to the independence of the courts, the objective of the Contracting Parties is to arrive at, and maintain, a uniform interpretation and application of this Agreement [to relevant trade matters](#) and those provisions of Community legislation which are substantially reproduced in this Agreement and to arrive at an equal treatment of individuals and economic operators as regards the four freedoms, [with exception of certain restrictions on Freedom of Workers](#) and the conditions of competition;

WHEREAS this Agreement does not restrict the decision-making autonomy or the treaty-making power of the Contracting Parties, subject to the provisions of this Agreement and the limitations set by public international law;

HAVE DECIDED to conclude the following Agreement:

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 7

PART I OBJECTIVES AND PRINCIPLES

Article 1

1. The aim of this Agreement of association is to promote a continuous and balanced strengthening of trade and economic relations between the Contracting Parties with equal conditions of competition, and the respect of the same rules [to relevant trade](#), with a view to creating a [successful](#) European Economic Area, hereinafter referred to as the EEA.

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2. In order to attain the objectives set out in paragraph 1, the association shall entail, in accordance with the provisions

of this Agreement :

(a) the free movement of goods;

(b) the free movement of [workers](#);

(c) the free movement of services;

(d) the free movement of capital;

(e) the setting up of a system ensuring that competition is not distorted and that the rules thereon are equally respected; as well as

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(f) closer cooperation in other fields, such as research and development, the environment, and education.

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Article 2

For the purposes of this Agreement :

(a) the term "Agreement" means the main Agreement, its Protocols and Annexes as well as the acts referred to therein;

(b){6} the term "EFTA States" means the United Kingdom of Great Britain and Northern Ireland, {7}Iceland, the Principality of Liechtenstein and the Kingdom of Norway;

(c) the term "Contracting Parties" means, concerning the European Union and the EU Member States, the European Union and the EU Member States, or the European Union, or the EU Member States. The meaning to be attributed to this expression in each case is to be deduced from the relevant provisions of this Agreement and from the respective competences of the European Union and the EU Member States as they follow from the Treaty establishing the European Economic Community {8};

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(d){9} the term "Act of Accession of 16 April 2003" shall mean the Act concerning the conditions of Accession of the Czech Republic, the Republic of Estonia, the Republic of Cyprus, the Republic of Latvia, the Republic of Lithuania, the Republic of Hungary, the Republic of Malta, the Republic of Poland, the Republic of Slovenia and the Slovak Republic and the adjustments to the Treaties on which the European Union is founded, adopted in Athens on 16 April 2003; [Croatia to be added]

(e){10} the term "Act of Accession of 25 April 2005" shall mean the Act concerning the conditions of accession of the Republic of Bulgaria and Romania and the adjustments to the Treaties on which the European Union is founded, adopted in Luxembourg on 25 April 2005;

Notes

{6} As replaced by the Adjusting Protocol and subsequently by the 2004 EEA Enlargement Agreement (OJ No L 130, 29.4.2004, p. 3 and EEA Supplement No 23, 29.4.2004, p. 1), provisionally applicable as of 1.5.2004, e.i.f. 6.12.2005.

{7} Words "Republic of" deleted by the 2007 EEA Enlargement Agreement (OJ No L 221, 25.8.2007, p. 15; EEA supplement No 39, 26.6.2008, p.10), provisionally applicable as of 1.8.2007, e.i.f. 9.11.2011.

{8} Words "and the Treaty establishing the European Coal and Steel Community" deleted by the 2004 EEA Enlargement Agreement (OJ NoL 130, 29.4.2004, p. 3 and EEA Supplement No 23, 29.4.2004, p. 1), provisionally applicable as of 1.5.2004, e.i.f. 6.12.2005.

{9} Paragraph added by the 2004 EEA Enlargement Agreement (OJ NoL 130, 29.4.2004, p. 3 and EEA Supplement No 23, 29.4.2004, p. 1), provisionally applicable as of 1.5.2004, e.i.f. 6.12.2005.

{10} Paragraph added by the 2007 EEA Enlargement Agreement (OJ No L 221, 25.8.2007, p. 15; EEA supplement No 39, 26.6.2008, p.1), provisionally applicable as of 1.8.2007, e.i.f. 9.11.2011.

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 8

(f){11} the term "Protocol of Accession of 25 April 2005" shall mean the Protocol concerning the conditions and arrangements for admission of the Republic of Bulgaria and Romania to the European Union, adopted in Luxembourg on 25 April 2005.

Article 3

The Contracting Parties shall take all appropriate measures, whether general or particular, to ensure fulfilment of the obligations arising out of this Agreement.

They shall abstain from any measure which could jeopardize the attainment of the objectives of this Agreement.

Moreover, they shall facilitate cooperation within the framework of this Agreement.

Article 4

Within the scope of application of this Agreement, and without prejudice to any special provisions contained therein, any discrimination on grounds of nationality shall be prohibited, with exception of essential national interest.

Article 5

A Contracting Party may at any time raise a matter of concern at the level of the EU-UK Joint Committee according to the modalities laid down in Articles 92 (2) and 89 (2), respectively.

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Article 6

Without prejudice to future developments of case law, the provisions of this Agreement, in so far as they are identical in substance to corresponding rules of the Treaty establishing the European Economic Community and the Treaty establishing the European Coal and Steel Community and to acts adopted in application of these two Treaties, shall, in their implementation and application, be interpreted in conformity with the relevant rulings of the Court of Justice of the European Communities given prior to the date of signature of this Agreement.

Article 7

Acts referred to or contained in the Annexes to this Agreement or in decisions of the EEA Joint Committee shall be binding upon the Contracting Parties for those goods and services traded in the EU single market, and UK goods and services exported to

the EU, but no longer to be applicable to the national internal market of the UK and be, or be made, part of their internal legal order as follows:

(a) an act corresponding to an EEC regulation shall as such be made part of the internal legal order of the Contracting Parties for those goods and services traded in the EU single market, and UK goods and services exported to the EU, but no longer to be applicable to the national internal market of the UK;

(b) an act corresponding to an EEC directive shall leave to the authorities of the Contracting Parties the choice of form and method of implementation but be for those goods and services traded in the EU single market, and UK goods and services exported to the EU, but no longer to be applicable to the national internal market of the UK;

Notes

{11} Paragraph added by the 2007 EEA Enlargement Agreement (OJ No L 221, 25.8.2007, p. 15; EEA supplement No 39, 26.6.2008, p.1), provisionally applicable as of 1.8.2007, e.i.f. 9.11.2011.

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 9

PART II

FREE MOVEMENT OF GOODS

CHAPTER 1

BASIC PRINCIPLES

Article 8

1. Free movement of goods between the Contracting Parties shall be established in conformity with the provisions of this Agreement.
2. Unless otherwise specified, Articles 10 to 15, 19, 20 and 25 to 27 shall apply only to products originating in the Contracting Parties.
3. Unless otherwise specified, the provisions of this Agreement shall apply only to:
 - (a) products falling within Chapters 25 to 97 of the Harmonized Commodity Description and Coding System, excluding the products listed in [Protocol 2];

(b) products specified in [Protocol 3], subject to the specific arrangements set out in that Protocol.

Article 9

1. The rules of origin are set out in [Protocol 4]. They are without prejudice to any international obligations which have been, or may be, subscribed to by the Contracting Parties under the General Agreement on Tariffs and Trade, the [General Agreement on Trade in Services and Trade Related Aspects of Intellectual Property Rights](#).
2. With a view to developing the results achieved in this Agreement, the Contracting Parties will continue their efforts in order further to improve and simplify all aspects of rules of origin and to increase cooperation in customs matters.
3. A first review will take place before the end of [2019]. Subsequent reviews will take place at two-yearly intervals.

On the basis of these reviews, the Contracting Parties undertake to decide on the appropriate measures to be included in this Agreement.

Article 10

Customs duties on imports and exports, and any charges having equivalent effect, shall be prohibited between the Contracting Parties. Without prejudice to the arrangements set out in [Protocol 5], this shall also apply to customs duties of a fiscal nature.

Article 11

Quantitative restrictions on imports and all measures having equivalent effect shall be prohibited between the Contracting Parties.

Article 12

Quantitative restrictions on exports and all measures having equivalent effect shall be prohibited between the Contracting Parties.

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 10

Article 13

The provisions of Articles 11 and 12 shall not preclude prohibitions or restrictions on imports, exports or goods in transit justified on grounds of public morality, public policy or public security; the protection of health and life of humans, animals or plants; the protection of national treasures possessing artistic, historic or

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archaeological value; or the protection of industrial and commercial property. Such prohibitions or restrictions shall not, however, constitute a means of arbitrary discrimination or a disguised restriction on trade between the Contracting Parties.

Article 14

No Contracting Party shall impose, directly or indirectly, on the products of other Contracting Parties any internal taxation of any kind in excess of that imposed directly or indirectly on similar domestic products.

Furthermore, no Contracting Party shall impose on the products of other Contracting Parties any internal taxation of such a nature as to afford indirect protection to other products.

Article 15

Where products are exported to the territory of any Contracting Party, any repayment of internal taxation shall not exceed the internal taxation imposed on them whether directly or indirectly.

Article 16

1. The Contracting Parties shall ensure that any State monopoly of a commercial character be adjusted so that no discrimination regarding the conditions under which goods are procured and marketed will exist between nationals of EU Member States and EFTA States such as the UK, unless there is a vital national interest.

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2. The provisions of this Article shall apply to any body through which the competent authorities of the Contracting Parties, in law or in fact, either directly or indirectly supervise, determine or appreciably influence imports or exports between Contracting Parties. These provisions shall likewise apply to monopolies delegated by the State to others.

CHAPTER2

AGRICULTURAL AND FISHERY PRODUCTS

Article 17

[Annex I] contains specific provisions and arrangements concerning veterinary and phytosanitary matters.

Article 18

Without prejudice to the specific arrangements governing trade in agricultural products, the Contracting Parties shall ensure that the arrangements provided for in Articles 17 and 23 (a) and (b), as they apply to products other than those covered by Article 8(3), are not compromised by other technical barriers to trade. Article 13 shall apply.

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 11

Article 19

1. The Contracting Parties shall examine any difficulties that might arise in their trade in agricultural products and shall endeavour to seek appropriate solutions.

2. The Contracting Parties undertake to continue their efforts with a view to achieving progressive liberalization of agricultural trade.

3. To this end, the Contracting Parties will carry out, before the end of [2019] and subsequently at two-yearly intervals, reviews of the conditions of trade in agricultural products.

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4. In the light of the results of these reviews, within the framework of their respective agricultural policies and taking into account the results of the Doha Round, if progress is made, the Contracting Parties will decide, within the framework of this Agreement, on a preferential, bilateral or multilateral, reciprocal and mutually beneficial basis, on further reductions of any type of barriers to trade in the agricultural sector, including those resulting from State monopolies of a commercial character in the agricultural field.

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Article 20

Provisions and arrangements that apply to fish and other marine products are set out [in Protocol 9].

CHAPTER 3

COOPERATION IN CUSTOMS-RELATED MATTERS

AND TRADE FACILITATION

Article 21

1. In order to facilitate trade between them, the Contracting Parties shall simplify border controls and formalities. Arrangements for this purpose are set out in [Protocol 10].
2. The Contracting Parties shall assist each other in customs matters in order to ensure that customs legislation is correctly applied. Arrangements for this purpose are set out in [Protocol 11].
3. The Contracting Parties shall strengthen and broaden cooperation with the aim of simplifying the procedures for trade in goods, in particular in the context of EU programmes, projects and actions aimed at trade facilitation, in accordance with the rules set out in Part VI.
4. Notwithstanding Article 8(3), this Article shall apply to all products.

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Article 22

A Contracting Party which is considering the reduction of the effective level of its duties or charges having equivalent effect applicable to third countries benefiting from most-favoured-nation treatment, or which is considering the suspension of their application, shall, as far as may be practicable, notify the EU-UK Joint Committee not later than thirty days before such reduction or suspension comes into effect. It shall take note of any representations by other Contracting Parties regarding any distortions which might result there from.

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CHAPTER 4

OTHER RULES RELATING TO THE FREE MOVEMENT OF GOODS

Article 23

Specific provisions and arrangements are laid down in:

- (a) [Protocol 12] and [Annex II] in relation to technical regulations, standards, testing and certification;

- (b) [Protocol 47] in relation to the abolition of technical barriers to trade in wine;
- (c) [Annex III] in relation to product liability.

They shall apply to all products unless otherwise specified.

Article 24

[Annex IV] contains specific provisions and arrangements concerning energy.

Article 25

Where compliance with the provisions of Articles 10 and 12 leads to:

(a) re-export towards a third country against which the exporting Contracting Party maintains, for the product concerned, quantitative export restrictions, export duties or measures or charges having equivalent effect; or

(b) a serious shortage, or threat thereof, of a product essential to the exporting Contracting Party;

and where the situations referred to above give rise, or are likely to give rise, to major difficulties for the exporting Contracting Party, that Contracting Party may take appropriate measures in accordance with the procedures set out in Article 113.

Article 26

Anti-dumping measures, countervailing duties and measures against illicit commercial practices attributable to third countries shall not be applied in relations between the Contracting Parties, unless otherwise specified in this Agreement.

CHAPTER 5

COAL AND STEEL PRODUCTS

Article 27

Provisions and arrangements concerning coal and steel products are set out in [Protocols 14 and 25].

PART III
FREE MOVEMENT OF PERSONS,
SERVICES AND CAPITAL

CHAPTER 1
WORKERS AND SELF-EMPLOYED PERSONS

Article 28

1. Freedom of movement for workers shall be secured among EU Member States and the UK as an EFTA State,

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2. Such freedom of movement shall entail the abolition of any discrimination based on nationality between workers of EU Member States and the UK as an EFTA State, as regards employment, remuneration and other conditions of work and employment.

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3. It shall entail the right, subject to limitations justified on grounds of public policy, public security or public health, and/or subject to UK visa controls levied on EU nationals where applicable:

(a) to accept offers of employment actually made;

(b) to move freely within the territory of EU Member States and EFTA States including the UK for this purpose;

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(c) to stay in the territory of an EU Member State or an EFTA State for the purpose of employment in accordance with the provisions governing the employment of nationals of that State laid down by law, regulation or administrative action;

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(d) to remain in the territory of an EU Member State or an EFTA State after having been employed there, subject to any UK visa controls levied on EU nationals, where applicable

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4. The provisions of this Article shall not apply to employment in the public service.

5. [Annex VI] contains specific provisions on the free movement of workers.

Article 29

In order to provide freedom of movement for workers and self-employed persons, the Contracting Parties shall, in the field of social security, secure, as provided for in Annex VI, for workers and self-employed persons and their dependants, in particular:

(a) aggregation, for the purpose of acquiring and retaining the right to benefit and of calculating the amount of benefit, of all periods taken into account under the laws of the several countries; but for EU nationals with visas to the UK, only those contributions properly made in the UK whilst working in the UK. All automatic non-contributory rights to UK benefits for EU citizens will be withdrawn.

(b) payment of benefits to persons resident in the territories of Contracting Parties; but for EU nationals with visas to the UK, benefits are only to be paid if contributions properly made for workers and their dependents whilst working in the UK. All automatic non-contributory rights for UK benefits for EU citizens will be withdrawn.

Article 30

In order to make it easier for persons to take up and pursue activities as workers and self-employed persons, the Contracting Parties shall take the necessary measures, as contained in [Annex VII], concerning the mutual recognition of diplomas, certificates and other evidence of formal qualifications, but with a proviso that relevant UK professional bodies will have the right to assess all mutuality claims and in the interests of maintaining the highest standards of safety and professionalism, refuse unsuitable diplomas, certificates or qualifications, and for the coordination of the provisions laid down by law, regulation or administrative action in the Contracting Parties concerning the taking up and pursuit of activities by workers and self-employed persons, subject to UK visa controls, as appropriate.

[15.11.2011 - Updated EEA AGREEMENT. Main Part p. 14](#)

CHAPTER 2

RIGHT OF ESTABLISHMENT

Article 31

1. Within the framework of the provisions of this Agreement, there shall be no restrictions on the freedom of establishment of nationals of an EU Member State or the UK as an EFTA State in the territory of any other of these States.

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This shall also apply to the setting up of agencies, branches or subsidiaries by nationals of any EU Member State or EFTA State established in the territory of any of these States.

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Freedom of establishment shall include the right to take up and pursue activities as self-employed persons and to set up and manage undertakings, in particular companies or firms within the meaning of Article 34, second paragraph, under the conditions laid down for its own nationals by the law of the country where such establishment is effected, subject to the provisions of Chapter 4.

2. [Annexes VIII to XI] contain specific provisions on the right of establishment.

Article 32

The provisions of this Chapter shall not apply, so far as any given Contracting Party is concerned, to activities which in that Contracting Party are connected, even occasionally, with the exercise of official authority.

Article 33

The provisions of this Chapter and measures taken in pursuance thereof shall not prejudice the applicability of provisions laid down by law, regulation or administrative action providing for special treatment for foreign nationals on grounds of public policy, public security or public health including vital national interest.

Article 34

Companies or firms formed in accordance with the law of an EU Member State or the UK as an EFTA State and having their registered office, central administration or principal place of business within the territory of the Contracting Parties shall, for the purposes of this Chapter, be treated in the same way as natural persons who are nationals of EU Member States or EFTA States.

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'Companies or firms' means companies or firms constituted under civil or commercial law, including cooperative societies, and other legal persons governed by public or private law, save for those which are non-profit-making.

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Article 35

The provisions of Article 30 shall apply to the matters covered by this Chapter.

CHAPTER3

SERVICES

Article 36

1. Within the framework of the provisions of this Agreement, there shall be no restrictions on freedom to provide services within the territory of the Contracting Parties in respect of nationals of EU Member States and the UK as an EFTA State, who are established in an EU Member State or in an EFTA State other than that of the person for whom the services are intended.

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2. [Annexes IX to XI] contain specific provisions on the freedom to provide services.

Article 37

Services shall be considered to be 'services' within the meaning of this Agreement where they are normally provided for remuneration, in so far as they are not governed by the provisions relating to freedom of movement for goods, capital and workers.

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'Services' shall in particular include :

- (a) activities of an industrial character;
- (b) activities of a commercial character;
- (c) activities of craftsmen;
- (d) activities of the professions.

Without prejudice to the provisions of Chapter 2, the person providing a service may, in order to do so, temporarily pursue his activity in the State where the service is provided, under the same conditions as are imposed by that State on its own nationals. Restrictions may be imposed under a UK visa system, if necessary.

Article 38

Freedom to provide services in the field of transport shall be governed by the provisions of Chapter 6.

Article 39

The provisions of Articles 30 and 32 to 34 shall apply to the matters covered by this Chapter.

CHAPTER 4

CAPITAL

Article 40

Within the framework of the provisions of this Agreement, there shall be no restrictions between the Contracting Parties on the movement of capital belonging to persons resident in EU Member States or the UK as an EFTA State, and no discrimination based on the nationality or on the place of residence of the parties or on the place where such capital is invested. [Annex XII] contains the provisions necessary to implement this Article.

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Article 41

Current payments connected with the movement of goods, workers, services or capital between Contracting Parties within the framework of the provisions of this Agreement shall be free of all restrictions.

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Article 42

1. Where domestic rules governing the capital market and the credit system are applied to the movements of capital liberalized in accordance with the provisions of this Agreement, this shall be done in a non-discriminatory manner.

2. Loans for the direct or indirect financing of an EU Member State or the UK as an EFTA State or its regional or local authorities shall not be issued or placed in other EU Member States or EFTA States unless the States concerned have reached agreement thereon.

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Article 43

1. Where differences between the exchange rules of EU Member States and the UK as an EFTA State, could lead persons resident in one of these States to use the freer transfer facilities within the territory of the Contracting Parties which are provided for in Article 40 in order to evade the rules of one of these States concerning the

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movement of capital to or from third countries, the Contracting Party concerned may take appropriate measures to overcome these difficulties.

2. If movements of capital lead to disturbances in the functioning of the capital market in any EU Member State or in the UK as an EFTA State, the Contracting Party concerned may take protective measures in the field of capital movements.

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3. If the competent authorities of a Contracting Party make an alteration in the rate of exchange which seriously distorts conditions of competition, the other Contracting Parties may take, for a strictly limited period, the necessary measures in order to counter the consequences of such alteration.

4. Where an EU Member State or the UK as an EFTA State is in difficulties, or is seriously threatened with difficulties, as regards its balance of payments either as a result of an overall disequilibrium in its balance of payments, or as a result of the type of currency at its disposal, and where such difficulties are liable in particular to jeopardize the functioning of this Agreement, the Contracting Party concerned may take protective measures.

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Article 44

The European Union, on the one hand, and the UK as an EFTA State, on the other, shall apply their internal procedures, as provided for in [Protocol 18], to implement the provisions of Article 43.

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Article 45

1. Decisions, opinions and recommendations related to the measures laid down in Article 43 shall be notified to the EU-UK Joint Committee.

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2. All measures shall be the subject of prior consultations and exchange of information within the EU-UK Joint Committee.

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3. In the situation referred to in Article 43(2), the Contracting Party concerned may, however, on the grounds of secrecy and urgency take the measures, where this proves necessary, without prior consultations and exchange of information.

4. In the situation referred to in Article 43(4), where a sudden crisis in the balance of payments occurs and the procedures set out in paragraph 2 cannot be followed, the Contracting Party concerned may, as a precaution, take the necessary protective measures. Such measures must cause the least possible disturbance in the functioning of this Agreement and must not be wider in scope than is strictly necessary to remedy the sudden difficulties which have arisen.

5. When measures are taken in accordance with paragraphs 3 and 4, notice thereof shall be given at the latest by the date of their entry into force, and the exchange of information and consultations as well as the notifications referred to in paragraph 1 shall take place as soon as possible thereafter.

CHAPTER 5
ECONOMIC AND MONETARY
POLICY COOPERATION

Article 46

The Contracting Parties shall exchange views and information concerning the implementation of this Agreement and the impact of the integration on economic activities and on the conduct of economic and monetary policies, [such as concerning Eurozone integrationist measures](#). Furthermore, they may discuss macro-economic situations, policies and prospects. This exchange of views and information shall take place on a non-binding basis.

CHAPTER 6
TRANSPORT

Article 47

1. Articles 48 to 52 shall apply to transport by rail, road and inland waterway.
2. [\[Annex XIII\]](#) contains specific provisions on all modes of transport.

Article 48

1. The provisions of an [EU](#) Member State or an EFTA State, relative to transport by rail, road and inland waterway and not covered by [\[Annex XIII\]](#), shall not be made less favourable in their direct or indirect effect on carriers of other States as compared with carriers who are nationals of that State.

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2. Any Contracting Party deviating from the principle laid down in paragraph 1 shall notify the [EU-UK](#) Joint Committee thereof. The other Contracting Parties which do not accept the deviation may take corresponding countermeasures.

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Article 49

Aid shall be compatible with this Agreement if it meets the needs of coordination of transport or if it represents reimbursement for the discharge of certain obligations inherent in the concept of a public service.

Article 50

1. In the case of transport within the territory of the Contracting Parties, there shall be no discrimination which takes the form of carriers charging different rates and imposing different conditions for the carriage of the same goods over the same transport links on grounds of the country of origin or of destination of the goods in question. It is not discriminatory for a Contracting Party to levy road tolls or other charges for use of roads, such as a vignette, if this the Party's national policy.

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 18

2. The competent authority according to Part VII shall, acting on its own initiative or on application by an EU Member State or the UK as an EFTA State, investigate any cases of discrimination falling within this Article and take the necessary decisions within the framework of its internal rules.

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Article 51

1. The imposition, in respect of transport operations carried out within the territory of the Contracting Parties, of rates and conditions involving any element of support or protection in the interest of one or more particular undertakings or industries, shall be prohibited unless authorized by the competent authority referred to in Article 50(2).

2. The competent authority shall, acting on its own initiative or on application by an EU Member State or the UK as an EFTA State, examine the rates and conditions referred to in paragraph 1, taking account in particular of the requirements

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of an appropriate regional economic policy, the needs of underdeveloped areas and the problems of areas seriously affected by political circumstances, on the one hand, and of the effects of such rates and conditions on competition between the different modes of transport, on the other.

The competent authority shall take the necessary decisions within the framework of its internal rules.

3. The prohibition provided for in paragraph 1 shall not apply to tariffs fixed to meet competition.

Article 52

Charges or dues in respect of the crossing of frontiers which are charged by a carrier in addition to transport rates shall not exceed a reasonable level after taking the costs actually incurred thereby into account. The Contracting Parties shall endeavour to reduce these costs progressively.

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 19_____

PART IV
COMPETITION AND OTHER COMMON RULES

CHAPTER 1
RULES APPLICABLE TO UNDERTAKINGS

Article 53

1. The following shall be prohibited as incompatible with the functioning of this Agreement: all agreements between undertakings, decisions by associations of undertakings and concerted practices which may affect trade between Contracting Parties and which have as their object or effect the prevention, restriction or distortion of competition within the territory covered by this Agreement, and in particular those which:
 - (a) directly or indirectly fix purchase or selling prices or any other trading conditions;
 - (b) limit or control production, markets, technical development, or investment;
 - (c) share markets or sources of supply;
 - (d) apply dissimilar conditions to equivalent transactions with other trading parties, thereby placing them at a competitive disadvantage;
 - (e) make the conclusion of contracts subject to acceptance by the other parties of supplementary obligations which, by their nature or according to commercial usage, have no connection with the subject of such contracts.
2. Any agreements or decisions prohibited pursuant to this Article shall be automatically void.
3. The provisions of paragraph 1 may, however, be declared inapplicable in the case of:

- any agreement or category of agreements between undertakings;
- any decision or category of decisions by associations of undertakings;
- any concerted practice or category of concerted practices;

which contributes to improving the production or distribution of goods or to promoting technical or economic progress, while allowing consumers a fair share of the resulting benefit, and which does not:

- (a) impose on the undertakings concerned restrictions which are not indispensable to the attainment of these objectives;
- (b) afford such undertakings the possibility of eliminating competition in respect of a substantial part of the products in question.

Article 54

Any abuse by one or more undertakings of a dominant position within the territory covered by this Agreement or in a substantial part of it shall be prohibited as incompatible with the functioning of this Agreement in so far as it may affect trade between Contracting Parties. [The UK shall have the right to restore use of a Government 'Golden Share' to companies and brands of vital national interest, as determined by the UK Parliament, a right confined only to defence companies to date.](#)

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 20

Such abuse may, in particular, consist in:

- (a) directly or indirectly imposing unfair purchase or selling prices or other unfair trading conditions;
- (b) limiting production, markets or technical development to the prejudice of consumers;
- (c) applying dissimilar conditions to equivalent transactions with other trading parties, thereby placing them at a competitive disadvantage;
- (d) making the conclusion of contracts subject to acceptance by the other parties of supplementary obligations which, by their nature or according to commercial usage, have no connection with the subject of such contracts.

Article 55

1. Without prejudice to the provisions giving effect to Articles 53 and 54 as contained in [Protocol 21] and [Annex XIV] of this Agreement, the EU Commission and the UK Surveillance Authority provided for in Article 108(1) shall ensure the application of the principles laid down in Articles 53 and 54.

The competent surveillance authority, as provided for in Article 56, shall investigate cases of suspected infringement of these principles, on its own initiative, or on application by a State within the respective territory or by the other surveillance authority. The competent surveillance authority shall carry out these investigations in cooperation with the competent national authorities in the respective territory and in cooperation with the other surveillance authority, which shall give it its assistance in accordance with its internal rules.

If it finds that there has been an infringement, it shall propose appropriate measures to bring it to an end.

2. If the infringement is not brought to an end, the competent surveillance authority shall record such infringement of the principles in a reasoned decision.

The competent surveillance authority may publish its decision and authorize a State or States within the respective territory to take the measures, the conditions and details of which it shall determine, needed to remedy the situation. It may also request the other surveillance authority to authorize States within the respective territory to take such measures.

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Article 56

1. Individual cases falling under Article 53 shall be decided upon by the surveillance authorities in accordance with the following provisions:

(a) individual cases where only trade within the UK as an EFTA State is affected shall be decided upon by the UK Surveillance Authority;

(b) without prejudice to subparagraph (c), the UK Surveillance Authority decides, as provided for in the provisions set out in Article 58, [Protocol 21] and the rules adopted for its implementation, [Protocol 23] and [Annex XIV], on cases where the turnover of the undertakings concerned in the territory of the UK equals 33 per cent or more of their turnover in the territory covered by this Agreement;

(c) the EU Commission decides on the other cases as well as on cases under (b) where trade between EU Member States is affected, taking into account the provisions set out in Article 58, [Protocol 21], [Protocol 23] and [Annex XIV].

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2. Individual cases falling under Article 54 shall be decided upon by the surveillance authority in the territory of which a dominant position is found to exist. The rules set out in paragraph 1(b) and (c) shall apply only if dominance exists within the territories of both surveillance authorities.

3. Individual cases falling under subparagraph (c) of paragraph 1, whose effects on trade between EU Member States or on competition within the European Union are not appreciable, shall be decided upon by the UK Surveillance Authority.

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4. The terms 'undertaking' and 'turnover' are, for the purposes of this Article, defined in [Protocol 22].

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Article 57

1. Concentrations the control of which is provided for in paragraph 2 and which create or strengthen a dominant position as a result of which effective competition would be significantly impeded within the territory covered by this Agreement or a substantial part of it, shall be declared incompatible with this Agreement.

2. The control of concentrations falling under paragraph 1 shall be carried out by:

(a) the EU Commission in cases falling under Regulation (EEC) No 4064/89 in accordance with that Regulation and in accordance with [Protocols 21 and 24] and [Annex XIV] to this Agreement. The EU Commission shall, subject to the review of the EU Court of Justice, have sole competence to take decisions on these cases;

(b) the UK Surveillance Authority in cases not falling under subparagraph (a) where the relevant thresholds set out in Annex XIV are fulfilled in the territory of the UK as an EFTA State, in accordance with [Protocols 21 and 24] and [Annex XIV]. This is without prejudice to the competence of EU Member States.

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Article 58

With a view to developing and maintaining a uniform surveillance throughout the European Economic Area relevant to UK exports but not the UK national market, in the field of competition and to promoting a homogeneous implementation on relevant areas, application and interpretation of the provisions of this Agreement to this end, the competent authorities shall cooperate in accordance with the provisions set out in [Protocols 23 and 24].

Article 59

1. In the case of public undertakings and undertakings to which EU Member States or the UK as an EFTA State, grant special or exclusive rights, the Contracting Parties shall ensure that there is neither enacted nor maintained in force any measure contrary to the rules contained in this Agreement, in particular to those rules provided for in Articles 4 and 53 to 63.

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2. Undertakings entrusted with the operation of services of general economic interest or having the character of a revenue-producing monopoly shall be subject to the rules contained in this Agreement, in particular to the rules on competition, in so far as the application of such rules does not obstruct the performance, in law or in fact, of the particular tasks assigned to them. The development of trade must not be affected to such an extent as would be contrary to the interests of the Contracting Parties.

3. The EU Commission as well as the UK Surveillance Authority shall ensure within their respective competence the application of the provisions of this Article and shall, where necessary, address appropriate measures to the States falling within their respective territory.

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Article 60

[Annex XIV] contains specific provisions giving effect to the principles set out in Articles 53, 54, 57 and 59.

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CHAPTER 2

STATE AID

Article 61

1. Save as otherwise provided in this Agreement, any aid granted by EU Member States, the UK as an EFTA State, or through State resources in any form whatsoever which distorts or threatens to distort competition by favouring certain undertakings or the production of certain goods shall, in so far as it affects trade between Contracting Parties, be incompatible with the functioning of this Agreement, unless in vital national interest.

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2. The following shall be compatible with the functioning of this Agreement:

(a) aid having a social character, granted to individual consumers, provided that such aid is granted without discrimination related to the origin of the products concerned;

(b) aid to make good the damage caused by natural disasters or exceptional occurrences;

(c) aid granted to the economy of certain areas of the Federal Republic of Germany affected by the division of Germany, in so far as such aid is required in order to compensate for the economic disadvantages caused by that division.

3. The following may be considered to be compatible with the functioning of this Agreement:

(a) aid to promote the economic development of areas where the standard of living is abnormally low or where there is serious underemployment;

(b) aid to promote the execution of an important project of common European interest or to remedy a serious disturbance in the economy of an EU Member State or of the UK as an EFTA State;

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(c) aid to facilitate the development of certain economic activities or of certain economic areas, where such aid does not adversely affect trading conditions to an extent contrary to the common interest;

(d) such other categories of aid as may be specified by the EU-UK Joint Committee in accordance with Part VII.

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Article 62

1. All existing systems of State aid in the territory of the Contracting Parties, as well as any plans to grant or alter State aid, shall be subject to constant review as to their compatibility with Article 61. This review shall be carried out:

(a) as regards the EU Member States, by the EU Commission according to the rules laid down in Article 93 of the Treaty establishing the European Economic Community;

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(b) as regards the EFTA States, by the EFTA Surveillance Authority according to the rules set out in an agreement between the EFTA States establishing the EFTA Surveillance Authority which is entrusted with the powers and functions laid down in [Protocol 26].

2. With a view to ensuring a uniform surveillance in the field of State Ajd throughout the territory covered by this Agreement, the EU Commission and the UK Surveillance Authority shall cooperate in accordance with the provisions set out in [Protocol 27].

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Article 63

[Annex XV] contains specific provisions on State aid.

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Article 64

1. If one of the surveillance authorities considers that the implementation by the other surveillance authority of Articles 61 and 62 of this Agreement and Article 5 of [Protocol 14] is not in conformity with the maintenance of equal conditions of competition within the territory covered by this Agreement, exchange of views shall be held within two weeks according to the procedure of [Protocol 27, paragraph (f)].

If a commonly agreed solution has not been found by the end of this two-week period, the competent authority of the affected Contracting Party may immediately adopt appropriate interim measures in order to remedy the resulting distortion of competition.

Consultations shall then be held in the EU-UK Joint Committee with a view to finding a commonly acceptable solution.

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If within three months the EU-UK Joint Committee has not been able to find such a solution, and if the practice in question causes, or threatens to cause, distortion of competition affecting trade between the Contracting Parties, the interim measures may be replaced by definitive measures, strictly necessary to offset the effect of such distortion. Priority shall be given to such measures that will least disturb the functioning of the EEA.

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2. The provisions of this Article will also apply to State monopolies, which are established after the date of signature of the Agreement.

CHAPTER 3

OTHER COMMON RULES

Article 65

1. [Annex XVI] contains specific provisions and arrangements concerning procurement which, unless otherwise specified, shall apply to all products and to services as specified.

2. [Protocol 28] and [Annex XVII] contain specific provisions and arrangements concerning intellectual, industrial and commercial property, which, unless otherwise specified, shall apply to all products and services.

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 24

PART V
HORIZONTAL PROVISIONS RELEVANT TO THE FOUR
FREEDOMS

[SOCIAL POLICY CHAPTER DELETED TO CONCENTRATE ON TRADE & TO
LEAVE THIS POLICY TO NATIONAL DECISIONMAKING]

CHAPTER1

CONSUMER PROTECTION

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Article 72

[Annex XIX] contains provisions on consumer protection.

CHAPTER 2

ENVIRONMENT

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Article 73

1. Action by the Contracting Parties relating to the environment shall have the following objectives:

- (a) to preserve, protect and improve the quality of the environment;
- (b) to contribute towards protecting human health;
- (c) to ensure a prudent and rational utilization of natural resources.

2. Action by the Contracting Parties relating to the environment shall be based on the principles that preventive action should be taken, that environmental damage should

as a priority be rectified at source, and that the polluter should pay. Environmental protection requirements shall be a component of the Contracting Parties' other policies.

Article 74

[Annex XX] contains the specific provisions on protective measures which shall apply pursuant to Article 73.

Article 75

The protective measures referred to in Article 74 shall not prevent any Contracting Party from maintaining or introducing more stringent protective measures compatible with this Agreement.

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 26

CHAPTER 4

STATISTICS

Article 76

1. The Contracting Parties shall ensure the production and dissemination of coherent and comparable statistical information for describing and monitoring all relevant trade, economic and environmental aspects of the EEA.

2. To this end the Contracting Parties shall develop and use harmonized methods, definitions and classifications as well as common programmes and procedures organizing statistical work at appropriate administrative levels and duly observing the need for statistical confidentiality.

3. [Annex XXI] contains specific provisions on statistics.

4. [Protocol 30] contains specific provisions on the organization of cooperation in the field of statistics.

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CHAPTER 5

COMPANY LAW

Article 77

[Annex XXII] contains specific provisions on company law.

PART VI

COOPERATION OUTSIDE THE FOUR FREEDOMS

Article 78

The Contracting Parties shall strengthen and broaden cooperation in the framework of the Community's activities in the fields of:

- research and technological development,
- information services,
- the environment,
- education and training,
- consumer protection,
- small and medium-sized enterprises,
- tourism,
- the audiovisual sector, and
- civil protection, [\[MORE PROGRAMMES / ACTIVITY HERE? \]](#)

in so far as these matters are not regulated under the provisions of other Parts of this Agreement.

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Article 79

1. The Contracting Parties shall strengthen the dialogue between them by all appropriate means, in particular through the procedures provided for in Part VII, with a view to identifying areas and activities where closer cooperation could contribute to the attainment of their common objectives in the fields referred to in Article 78.

2. They shall, in particular, exchange information and, at the request of a Contracting Party, hold consultations within the EU-UK Joint Committee in respect of plans or

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proposals for the establishment or amendment of framework programmes, specific programmes, actions and projects in the fields referred to in Article 78.

3. Part VII shall apply mutatis mutandis with regard to this Part whenever the latter or [Protocol 31] specifically provides therefore.

Article 80

The cooperation provided for in Article 78 shall normally take one of the following forms:

- participation by the UK as an EFTA State, in EU framework programmes, specific programmes, projects or other actions;
- establishment of joint activities in specific areas, which may include concentration or coordination of activities, fusion of existing activities and establishment of ad hoc joint activities;
- the formal and informal exchange or provision of information;
- common efforts to encourage certain activities throughout the territory of the Contracting Parties;
- parallel legislation, where appropriate, of identical or similar content;
- coordination, where this is of mutual interest, of efforts and activities via, or in the context of, international organizations, and of cooperation with third countries.

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Article 81

Where cooperation takes the form of participation by the UK as an EFTA State, in an EU framework programme, specific programme, project or other action, the following principles shall apply:

- (a) The UK as an EFTA State, shall have access to all parts of a programme.
- (b) The status of the UK as an EFTA State, in the committees which assist the EU Commission in the management or development of a Community activity to which UK and EFTA States may be contributing financially by virtue of their participation shall take full account of that contribution.
- (c) Decisions by the European Union, other than those relating to the general budget of the EU, which affect directly or indirectly a framework programme, specific programme, project or other action, in which EFTA States participate by a decision under this Agreement, shall be subject to the provisions of Article 79(3). The terms

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and conditions of the continued participation in the activity in question may be reviewed by the EU-UK Joint Committee in accordance with Article 86.

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(d) At the project level, institutions, undertakings, organizations and nationals of the UK as an EFTA State shall have the same rights and obligations in the Community programme or other action in question as those applicable to partner institutions, undertakings, organizations and nationals of EU Member States. The same shall apply mutatis mutandis to participants in exchanges between EU Member States and the UK, under the activity in question.

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(e) The UK, their institutions, undertakings, organizations and nationals shall have the same rights and obligations with regard to dissemination, evaluation and exploitation of results as those applicable to EU Member States, their institutions, undertakings, organizations and nationals.

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(f) The Contracting Parties undertake, in accordance with their respective rules and regulations, to facilitate the movement of participants in the programme and other action to the extent necessary.

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Article 82

1. When the cooperation envisaged under the present Part involves a financial participation of the UK as an EFTA State, this participation shall take one of the following forms:

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(a) The contribution of the UK, arising from their participation in EU activities, shall be calculated proportionally:

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- to the commitment appropriations; and

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- to the payment appropriations;

entered each year for the European Union, in the general budget of the Union, for each budgetary line corresponding to the activities in question.

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The 'proportionality factor' determining the participation of the EFTA States shall be the sum of the ratios between, on the one hand, the gross domestic product at market prices of each of the EFTA States and, on the other hand, the sum of the gross domestic products at market prices of the EU Member States and of that of the UK as an EFTA State. This factor shall be calculated, for each budgetary year, on the basis of the most recent statistical data.

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The amount of the contribution of the UK shall be additional, both in commitment appropriations and in payment appropriations, to the amounts entered for the European Union in the general budget on each line corresponding to the activities concerned.

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The contributions to be paid each year by the UK shall be determined on the basis of the payment appropriations.

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Commitments entered into by the European Union prior to the entry into force, on the basis of this Agreement, of the participation of the UK in the activities in question - as well as the payments which result from this - shall give rise to no contribution on the part of the UK.

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(b) The financial contribution of the UK deriving from its participation in certain projects or other activities shall be based on the principle that each Contracting Party shall cover its own costs, with an appropriate contribution which shall be fixed by the EU-UK Joint Committee to the European Union's overhead costs.

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(c) The EU-UK Joint Committee shall take the necessary decisions concerning the contribution of the Contracting Parties to the costs of the activity in question.

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2. The detailed provisions for the implementation of this Article are set out in [Protocol 32].

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Article 83

Where cooperation takes the form of an exchange of information between public authorities, the UK as an EFTA State shall have the same right to receive, and obligations to provide, information as EU Member States, subject to the requirements of confidentiality, which shall be fixed by the EU-UK Joint Committee.

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Article 84

Provisions governing cooperation in specific fields are set out in [Protocol 31].

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Article 85

Unless otherwise provided for in [Protocol 31], cooperation already established between the Community and individual EFTA States in the fields referred to in Article 78 on the date of entry into force of this Agreement shall thereafter be governed by the relevant provisions of this Part and of [Protocol 31].

Article 86

The EU-UK Joint Committee shall, in accordance with Part VII, take all decisions necessary for the implementation of Articles 78 to 85 and measures derived therefrom, which may include, inter alia, supplementing and amending the provisions of [Protocol 31], as well as adopting any transitional arrangements required by way of implementation of Article 85.

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Article 87

The Contracting Parties shall take the necessary steps to develop, strengthen or broaden cooperation in the framework of the Community's activities in fields not listed in Article 78, where such cooperation is considered likely to contribute to the attainment of the objectives of this Agreement, or is otherwise deemed by the Contracting Parties to be of mutual interest.

Such steps may include the amendment of Article 78 by the addition of new fields to those listed therein.

Article 88

Without prejudice to provisions of other Parts of this Agreement, the provisions of this Part shall not preclude the possibility

for any Contracting Party to prepare, adopt and implement measures independently.

PART VII

INSTITUTIONAL PROVISIONS

CHAPTER 1

THE STRUCTURE

OF THE ASSOCIATION

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[THIS SECTION SETS UP THE WORKING ARRANGEMENTS BETWEEN THE EU AND THE UK, THROUGH THE EU-UK JOINT COMMITTEE, VERY SIMILAR TO THE EU-SWISS JOINT COMMITTEE IN FUNCTION AND PURPOSE. THE UK WOULD PARTICIPATE IN THE EEA COUNCIL, PARTICIPATING ON EEA LITE MATTERS BUT ONLY AS AN OBSERVER, SUCH AS THE SWISS, ON MAIN REGULAR EEA AFFAIRS AS THE EEA COUNCIL IS MAINLY CONCERNED WITH THE WORKINGS OF THE EEA REGULAR AGREEMENT]

Section 1,

The EU-UK Joint Committee

Article 92

1. An EU-UK Joint Committee is hereby established. It shall be responsible for giving the political impetus in the implementation of the Agreement, and assess the overall functioning and development of the Agreement. It shall take political decisions leading to amendments of the Agreement. It shall ensure the effective implementation and operation of this Agreement. To this end, it shall carry out exchanges of views and information and take decisions in the cases provided for in this Agreement.
2. The Contracting Parties, as to the European Union and the EU Member States in their respective fields of competence, shall hold consultations in the EU-UK Joint Committee on any point of relevance to the Agreement giving rise to a difficulty and raised by one of them.
3. The EU-UK Joint Committee shall by decision adopt its rules of procedure.
4. The EU-UK Joint Committee shall consist of members of the Council of the European Union, of Members of the European Parliament and members of the EU Commission such as EU Commissioners, and Members of the UK Government.
5. Decisions by the EU-UK Joint Committee shall be taken by agreement between the European Union, on the one hand, and the United Kingdom of Great Britain and Northern Ireland on the other.

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2. Decisions by the EEA Council shall be taken by agreement between the Community, on the one hand, and the EFTA States, on the other.
Article 91
1. The office of President of the EEA Council shall be held alternately, for a period of six months, by a member of the Council of the European Communities and a member of the Government of an EFTA State.
2. The EEA Council shall be convened twice a year by its President. The EEA Council shall also meet whenever circumstances so require, in accordance with its rules of procedure.
Section 2

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Article 93

1. The office of President of the EU-UK Joint Committee shall be held alternately, for a period of six months, by a senior representative of the European Union, such as the President or senior Group Leader of the European Parliament, a leading Commissioner, or President of the European Council, and senior representatives of the UK Government and UK Westminster Parliament.

2. In order to fulfil its functions, the EU-UK Joint Committee shall meet, in principle, at least once a month. It shall also meet on the initiative of its President or at the request of one of the Contracting Parties in accordance with its rules of procedure.

3. The EU-UK Joint Committee may decide to establish any subcommittee or working group to assist it in carrying out its tasks. The EU-UK Joint Committee shall in its rules of procedure lay down the composition and mode of operation of such subcommittees and working groups. Their tasks shall be determined by the EEA Joint Committee in each individual case.

4. The EU-UK Joint Committee shall issue an annual report on the functioning and the development of this Agreement.

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Section 3

Parliamentary cooperation

Article 95

1. An EU-UK Joint Parliamentary Committee is hereby established. It shall be composed of equal numbers of, on the one hand, members of the European Parliament and, on the other, UK Westminster members of Parliaments. The total number of members of the Committee is laid down in the Statute in [Protocol 36].

2. The EU-UK Joint Parliamentary Committee shall alternately hold sessions in the European Union and in the United Kingdom of Great Britain and Northern Ireland, accordance with the provisions laid down in [Protocol 36].

3. The EU-UK Joint Parliamentary Committee shall contribute, through dialogue and debate, to a better understanding between the European Union and the United

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Kingdom of Great Britain and Northern Ireland as an EFTA State, in the fields covered by this Agreement.

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4. The EU-UK Joint Parliamentary Committee may express its views in the form of reports or resolutions, as appropriate. It shall, in particular, examine the annual report of the EU-UK Joint Committee, issued in accordance with Article 94 (4), on the functioning and the development of this Agreement.

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5. The President of the EU-UK Committee and other senior representatives of that Committee, may appear before the EU-UK Joint Parliamentary Committee in order to be heard by it.

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6. The EU-UK Joint Parliamentary Committee shall adopt its rules of procedure.

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CHAPTER_2

THE DECISION-MAKING PROCEDURE

Article 97

This Agreement does not prejudice the right for each Contracting Party to amend, without prejudice to the principle of nondiscrimination and after having informed the other Contracting Parties, its internal legislation in the areas covered by this

- if the EU-UK Joint Committee concludes that the legislation as amended does not affect the good functioning of this Agreement; or

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- if the procedures referred to in Article 98 have been completed.

Article 98

The Annexes to this Agreement and [Protocols 1 to 7, 9 to 11, 19 to 27, 30 to 32, 37, 39, 41 and 47], as appropriate, may be amended by a decision of the EU-UK Joint Committee in accordance with Articles 93 (2), 99, 100, 102 and 103.

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Article 99

1. As soon as new legislation is being drawn up by the EU Commission in a field which is governed by this Agreement, the EU Commission shall informally seek advice from experts of the UK in the same way as it seeks advice from experts of the EU Member States for the elaboration of its proposals.

2. When transmitting its proposal to the Council of the European Union, the EU Commission shall transmit copies thereof to the UK as an EFTA State,

At the request of one of the Contracting Parties, a preliminary exchange of views takes place in the EU-UK Joint Committee.

3. During the phase preceding the decision of the Council of the European Union, in a continuous information and consultation process, the Contracting Parties consult each other again in the EU-UK Joint Committee at the significant moments at the request of one of them.

4. The Contracting Parties shall cooperate in good faith during the information and consultation phase with a view to facilitating, at the end of the process, the decision-taking in the EU-UK Joint Committee.

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Article 100

[Note: These are EU Commission comitology committees to help shape Commission proposals and legislation].

The EU Commission shall ensure experts of the UK as an EFTA State, as wide a participation as possible according to the areas concerned, in the preparatory stage of draft measures to be submitted subsequently to the committees which assist the EU Commission in the exercise of its executive powers. In this regard, when drawing up draft measures the EU Commission shall refer to experts of the United Kingdom of Great Britain and Northern Ireland, on the same basis as it refers to experts of the EU Member States.

In the cases where the Council of the European Union is seized in accordance with the procedure applicable to the type of committee involved, the EU Commission shall transmit to the Council of the European Union the views of the experts of the UK,

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Article 101

1. In respect of committees which are covered neither by Article 81 nor by Article 100 experts from the UK, shall be associated with the work when this is called for by the good functioning of this Agreement.

These committees are listed in [Protocol 37]. The modalities of such an association are set out in the relevant sectoral Protocols and Annexes dealing with the matter concerned.

2. If it appears to the Contracting Parties that such an association should be extended to other committees which present similar characteristics, the EU-UK Joint Committee may amend [Protocol 37].

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Article 102

1. the European Union shall, whenever adopting a legislative act on an issue which is governed by this Agreement, as soon as possible inform the other Contracting Parties in the EU-UK Joint Committee.

2. The part of an Annex to this Agreement which would be directly affected by the new legislation is assessed in the EU-UK Joint Committee.

3. The Contracting Parties shall make all efforts to arrive at an agreement on matters relevant to this Agreement.

The EU-UK Joint Committee shall, in particular, make every effort to find a mutually acceptable solution where a serious problem arises in any area which, in the UK, falls within the competence of the legislator.

4. If, notwithstanding the application of the preceding paragraph, an agreement on an amendment of an Annex to this Agreement cannot be reached, the EU-UK Joint Committee shall examine all further possibilities to maintain the good functioning of this Agreement and take any decision necessary to this effect. Such a decision shall be taken at the latest at the expiry of a period of six months from the date of referral to the EU-UK Joint Committee or, if that date is later, on the date of entry into force of the corresponding EU legislation.

5. If, at the end of the time limit set out in paragraph 4, the EU-UK Joint Committee has not taken a decision on an amendment of an Annex to this Agreement, the affected part thereof, as determined in accordance with paragraph 2, is regarded as provisionally suspended, subject to a decision to the contrary by the EU-UK Joint Committee. Such a suspension shall take effect six months after the end of the period referred to in paragraph 4, but in no event earlier than the date on which the corresponding EU act is implemented in the European Union. The EU-UK Joint Committee shall pursue its efforts to agree on a mutually acceptable solution in order for the suspension to be terminated as soon as possible. It is agreed that the wider Agreement can not be suspended for relatively technical or minor legislative matters

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only for major disagreements that go to the core of the relationship, should these ever arise.

6. The practical consequences of the suspension referred to in paragraph 5 shall be discussed in the EU-UK Joint Committee. The rights and obligations which individuals and economic operators have already acquired under this Agreement shall remain. The Contracting Parties shall, as appropriate, decide on the adjustments necessary due to the suspension.

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Article 103

1. If a decision of the EU-UK Joint Committee can be binding on a Contracting Party only after the fulfilment of constitutional requirements, the decision shall, if a date is contained therein, enter into force on that date, provided that the Contracting Party concerned has notified the other Contracting Parties by that date that the constitutional requirements have been fulfilled.

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In the absence of such a notification by that date, the decision shall enter into force on the first day of the second month following the last notification.

2. If upon the expiry of a period of six months after the decision of the EU-UK Joint Committee such a notification has not taken place, the decision of the EU-UK Joint Committee shall be applied provisionally pending the fulfilment of the constitutional requirements unless a Contracting Party notifies that such a provisional application cannot take place. In the latter case, or if a Contracting Party notifies the non-ratification of a decision of the EU-UK Joint Committee, the suspension provided for in Article 102 (5) shall take effect one month after such a notification but in no event earlier than the date on which the corresponding EU act is implemented in the European Union.

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Article 104

Decisions taken by the EEA Joint Committee in the cases provided for in this Agreement shall, unless otherwise provided for therein, upon their entry into force be binding on the Contracting Parties which shall take the necessary steps to ensure their implementation and application.

CHAPTER 3

**RELEVANT HOMOGENEITY, SURVEILLANCE PROCEDURE
AND SETTLEMENT OF DISPUTES**

Section 1

Relevant Homogeneity

Article 105

1. In order to achieve the objective of the Contracting Parties to arrive at as uniform an interpretation as possible of the provisions of the Agreement relevant to UK exports but not to the UK national market, and those provisions of Community legislation which are substantially reproduced in the Agreement, the EU-UK Joint Committee shall act in accordance with this Article.

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2. The EU-UK Joint Committee shall keep under constant review the development of the case law of the Court of Justice of the European Union and the UK Trade Court and UK Supreme Court (for appeals), and EFTA Court judgements. To this end judgments of these Courts shall be transmitted to the EU-UK Joint Committee.

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3. If the EU-UK Joint Committee within two months after a difference in the case law of these Courts has been brought before it, has not succeeded to preserve the relevant homogeneous interpretation of the Agreement, the procedures laid down in Article 111 may be applied.

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Article 106

In order to ensure as uniform an interpretation as possible of this Agreement, in full deference to the independence of courts, a system of exchange of information concerning judgments by the UK Trade Court, the Court of Justice of the European Union and the General Court of the European Union and the Court of last instance of the UK as an EFTA State, the UK Supreme Court, shall be set up by the EU-UK Joint Committee. This system shall comprise:

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(a) transmission to the Registrar of the Court of Justice of the European Union of judgments delivered by such courts on the interpretation and application of, on the one hand, this Agreement or, on the other hand, the Treaty establishing the European Economic Community and the Treaty establishing the European Coal and Steel Community, as amended or supplemented, as well as the acts adopted in pursuance thereof in so far as they concern provisions which are identical in substance to those of this Agreement;

(b) classification of these judgments by the Registrar of the Court of Justice of the European Communities including, as far as necessary, the drawing up and publication of translations and abstracts;

(c) communications by the Registrar of the Court of Justice of the European Union of the relevant documents to the competent national authorities, to be designated by each Contracting Party.

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Article 107

Provisions on the possibility for an EFTA State to allow a court or tribunal to ask the Court of Justice of the European Communities to decide on the interpretation of an EEA rule are laid down in [Protocol 34].

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Section 2

Surveillance procedure

Article 108

1. The UK as an EFTA State, shall establish an independent surveillance authority (UK Surveillance Authority) as well as procedures similar to those existing in the Community including procedures for ensuring the fulfilment of obligations under this Agreement and for control of the legality of acts of the UK Surveillance Authority regarding competition.

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2. The UK as an EFTA State, shall establish a court of justice (UK Trade Court).

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The UK Trade Court shall, in accordance with a separate agreement between the EFTA States, with regard to the application of this Agreement be competent, in particular, for:

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(a) actions concerning the surveillance procedure regarding the UK as an EFTA State;

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(b) appeals concerning decisions in the field of competition taken by the UK Surveillance Authority;

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(c) the settlement of disputes between two or more EFTA States relevant to the UK.

Article 109

1. The fulfilment of the obligations under this Agreement shall be monitored by, on the one hand, the [UK](#) Surveillance Authority and, on the other, the [EU](#) Commission acting in conformity with the Treaty establishing the European Economic Community{12}.

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2. In order to ensure a uniform surveillance throughout the EEA [for relevant UK trade](#), the [UK](#) Surveillance Authority and the [EU](#) Commission shall cooperate, exchange information and consult each other on surveillance policy issues and individual cases.

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3. The [EU](#) Commission and the [UK](#) Surveillance Authority shall receive any complaints concerning the application of this Agreement. They shall inform each other of complaints received.

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4. Each of these bodies shall examine all complaints falling within its competence and shall pass to the other body any complaints which fall within the competence of that body.

5. In case of disagreement between these two bodies with regard to the action to be taken in relation to a complaint or with regard to the result of the examination, either of the bodies may refer the matter to the [EU-UK](#) Joint Committee which shall deal with it in accordance with Article 111.

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Article 110

Decisions under this Agreement by the [UK](#) Surveillance Authority and the [EU](#) Commission which impose a pecuniary obligation on persons other than States, shall be enforceable. The same shall apply to such judgments under this Agreement by the Court of Justice of the European [Union](#), the [General Court](#) of the European [Union](#) and the [UK Trade](#) Court.

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Enforcement shall be governed by the rules of civil procedure in force in the State in the territory of which it is carried out. The order for its enforcement shall be appended to the decision, without other formality than verification of the authenticity of the decision, by the authority which each Contracting Party shall designate for this purpose and shall make known to the other Contracting Parties, the [UK](#) Surveillance Authority, the [EU](#) Commission, the Court of Justice of the European [Union](#), the [General Court](#) of the European [Union](#) and the [UK Trade](#) Court.

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Notes

{12} Words "and the Treaty establishing the European Coal and Steel Community" deleted by the 2004 EEA Enlargement Agreement (OJ No L 130, 29.4.2004, p. 3 and EEA Supplement No 23, 29.4.2004, p. 1), provisionally applicable as of 1.5.2004, e.i.f. 6.12.2005.

When these formalities have been completed on application by the party concerned, the latter may proceed to enforcement, in accordance with the law of the State in the territory of which enforcement is to be carried out, by bringing the matter directly before the competent authority.

Enforcement may be suspended only by a decision of the Court of Justice of the European Communities, as far as decisions by the EC Commission, the Court of First Instance of the European Communities or the Court of Justice of the European Communities are concerned, or by a decision of the EFTA Court as far as decisions by the EFTA Surveillance Authority or the EFTA Court are concerned. However, the courts of the States concerned shall have jurisdiction over complaints that enforcement is being carried out in an irregular manner.

Section 3

Settlement of disputes

Article 111

1. The European Union or the UK as an EFTA State may bring a matter under dispute which concerns the interpretation or application of this Agreement before the EU-UK Joint Committee in accordance with the following provisions.

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2. The EU-UK Joint Committee may settle the dispute. It shall be provided with all information which might be of use in making possible an indepth examination of the situation, with a view to finding an acceptable solution. To this end, the EU-UK Joint Committee shall examine all possibilities to maintain the good functioning of the Agreement.

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3. If a dispute concerns the interpretation of provisions of this Agreement, which are identical in substance to corresponding rules of the Treaty establishing the European Economic Community and the Treaty establishing the European Coal and Steel Community and to acts adopted in application of these two Treaties and if the dispute has not been settled within three months after it has been brought before the EU-UK Joint Committee, the Contracting Parties to the dispute may agree to request the Court of Justice of the European Union to give a ruling on the interpretation of the relevant rules.

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If the EU-UK Joint Committee in such a dispute has not reached an agreement on a solution within six months from the date on which this procedure was initiated or if,

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by then, the Contracting Parties to the dispute have not decided to ask for a ruling by the Court of Justice of the European Union, a Contracting Party may, in order to remedy possible imbalances,

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- either take a safeguard measure in accordance with Article 112(2) and following the procedure of Article 113;

- or apply Article 102 mutatis mutandis.

4. If a dispute concerns the scope or duration of safeguard measures taken in accordance with Article 111(3) or Article 112, or the proportionality of rebalancing measures taken in accordance with Article 114, and if the EU-UK Joint Committee after three months from the date when the matter has been brought before it has not succeeded to resolve the dispute, any Contracting Party may refer the dispute to arbitration under the procedures laid down in [Protocol 33]. No question of interpretation of the provisions of this Agreement referred to in paragraph 3 may be dealt with in such procedures. The arbitration award shall be binding on the parties to the dispute.

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15.11.2011 - Updated EEA AGREEMENT, Main Part p. 37

CHAPTER_4 SAFEGUARD_MEASURES

Article 112

1. If serious economic, societal or environmental difficulties of a sectorial or regional nature liable to persist are arising, a Contracting Party may unilaterally take appropriate measures under the conditions and procedures laid down in Article 113.

2. Such safeguard measures shall be restricted with regard to their scope and duration to what is strictly necessary in order to remedy the situation. Priority shall be given to such measures as will least disturb the functioning of this Agreement.

3. The safeguard measures shall apply with regard to all Contracting Parties.

Article 113

1. A Contracting Party which is considering taking safeguard measures under Article 112 shall, without delay, notify the other Contracting Parties through the EU-UK Joint Committee and shall provide all relevant information.

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2. The Contracting Parties shall immediately enter into consultations in the [EU-UK](#) Joint Committee with a view to finding a commonly acceptable solution.

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3. The Contracting Party concerned may not take safeguard measures until one month has elapsed after the date of notification under paragraph 1, unless the consultation procedure under paragraph 2 has been concluded before the expiration of the stated time limit. When exceptional circumstances requiring immediate action exclude prior examination, the Contracting Party concerned may apply forthwith the protective measures strictly necessary to remedy the situation.

For the [European Union](#), the safeguard measures shall be taken by the [EU](#) Commission.

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4. The Contracting Party concerned shall, without delay, notify the measures taken to the [EU-UK](#) Joint Committee and shall provide all relevant information.

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5. The safeguard measures taken shall be the subject of consultations in the [EU-UK](#) Joint Committee every three months from the date of their adoption with a view to their abolition before the date of expiry envisaged, or to the limitation of their scope of application.

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Each Contracting Party may at any time request the [EU-UK](#) Joint Committee to review such measures.

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Article 114

1. If a safeguard measure taken by a Contracting Party creates an imbalance between the rights and obligations under this Agreement, any other Contracting Party may towards that Contracting Party take such proportionate rebalancing measures as are strictly necessary to remedy the imbalance. Priority shall be given to such measures as will least disturb the functioning of the EEA.

2. The procedure under Article 113 shall apply.

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 38

PART VIII

FINANCIAL MECHANISM

Article 115

With a view to promoting a continuous and balanced strengthening of trade and economic relations between the Contracting Parties, as provided for in Article 1, the Contracting Parties agree on the need to reduce the economic and social disparities between their [nations](#). They note in this regard the relevant provisions set out elsewhere in this Agreement and its related Protocols, including certain of the arrangements regarding agriculture and fisheries.

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Article 116

A Financial Mechanism shall be established by the [UK as an](#) EFTA State, to contribute, in the context of the EEA and in addition to the efforts already deployed by the [European Union](#), in this regard, to the objectives laid down in Article 115.

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Article 117

{13} Provisions governing the Financial Mechanisms are set out in [Protocol 38], [Protocol 38a] and [the Addendum to Protocol 38a], and [Protocol 38b]

PART IX

GENERAL AND FINAL PROVISIONS

Article 118

1. Where a Contracting Party considers that it would be useful in the interests of all the Contracting Parties to develop the relations established by this Agreement by extending them to fields not covered thereby, it shall submit a reasoned request to the other Contracting Parties within [the EU-UK](#) Joint Committee to examine all the aspects of this request and to issue a report.

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The [EU-UK Joint Committee](#) may, where appropriate, take the political decisions with a view to opening negotiations between the Contracting Parties.

2. The agreements resulting from the negotiations referred to in paragraph 1 will be subject to ratification or approval by the Contracting Parties in accordance with their own procedures.

Article 119

Notes

{13} Article replaced by the 2004 EEA Enlargement Agreement (OJ No L 130, 29.4.2004, p. 3 and EEA Supplement No 23, 29.4.2004, p. 1), provisionally applicable as of 1.5.2004, e.i.f. 6.12.2005, subsequently replaced by the 2007 EEA Enlargement Agreement (OJ No L 221, 25.8.2007,

p. 15; EEA supplement No 39, 26.6.2008, p.1), provisionally applicable as of 1.8.2007, e.i.f. 9.11.2011, and subsequently replaced by the Agreement between the European Union, Iceland, Liechtenstein and Norway on an EEA Financial Mechanism for the period 2009-2014 (OJ No L 291, 9.11.2010, p. 4) , e.i.f. 1.2.2011.

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 39

The Annexes and the acts referred to therein as adapted for the purposes of this Agreement as well as the Protocols shall form an integral part of this Agreement.

Article 120

{14} Unless otherwise provided in this Agreement and in particular in [Protocols 41 and 43], the application of the provisions of this Agreement shall prevail over provisions in existing bilateral or multilateral agreements binding the European Union, on the one hand, and the UK as an EFTA State, on the other, to the extent that the same subject matter is governed by this Agreement.

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The provisions of this Agreement shall not preclude cooperation : -

(a) within the framework of the Nordic cooperation to the extent that such cooperation does not impair the good functioning of this Agreement; -

(b) within the framework of the regional union between Switzerland and Liechtenstein to the extent that the objectives of this union are not attained by the application of this Agreement and the good functioning of this Agreement is not impaired; -

(c) [X -

15)

Article 122

The representatives, delegates and experts of the Contracting Parties, as well as officials and other servants acting under this Agreement shall be required, even after their duties have ceased, not to disclose information of the kind covered by the obligation of professional secrecy, in particular information about undertakings, their business relations or their cost components, unless in the UK public interest.

Article 123

Nothing in this Agreement shall prevent a Contracting Party from taking any measures:

(a) which it considers necessary to prevent the disclosure of information contrary to its essential security interests;

(b) which relate to the production of, or trade in, arms, munitions and war materials or other products indispensable for defence purposes or to research, development or production indispensable for defence purposes, provided that such measures do not impair the conditions of competition in respect of products not intended for specifically military purposes;

(c) which it considers essential to its own security in the event of serious internal disturbances affecting the maintenance of law and order, in time of war or serious international tension constituting threat of war or in order to carry out obligations it has accepted for the purpose of maintaining peace and international security.

Article 124

The Contracting Parties shall accord nationals of EC Member States and EFTA States the same treatment as their own

nationals as regards participation in the capital of companies or firms within the meaning of Article 34, without prejudice to

the application of the other provisions of this Agreement.

Notes

{14} The words "Protocols 41, 43 and 44" replaced by the words "Protocols 41 and 43".

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 40

Article 125

This Agreement shall in no way prejudice the rules of the Contracting Parties governing the system of property ownership.

Article 126

1.{16} The Agreement shall apply to the territories to which the Treaty establishing the European Economic Community_{17} is applied and under the conditions laid down in that Treaty {18}, and to the territories of the United Kingdom of Great Britain and Northern Ireland [and the Crown Territories including Jersey – NB This is possible addition as Crown territories are not in the EU now but might to choose to join such an agreement].

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Deleted: 15) Paragraph (c) deleted by the 2004 EEA Enlargement Agreement (OJ No L 130, 29.4.2004, p. 3 and EEA Supplement No 23, 29.4.2004, p. 1), -

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Article 127

Each Contracting Party may withdraw from this Agreement provided it gives at least twelve months' notice in writing to the other Contracting Parties.

Immediately after the notification of the intended withdrawal, the other Contracting Parties shall convene a diplomatic conference in order to envisage the necessary modifications to bring to the Agreement.

Article 128

Notes

{17} Words “ and the Treaty establishing the European Coal and Steel Community” deleted by the 2004 EEA Enlargement Agreement (OJ NoL 130, 29.4.2004, p. 3 and EEA Supplement No 23, 29.4.2004, p. 1), provisionally applicable as of 1.5.2004, e.i.f. 6.12.2005.

{18} Words “those Treaties” replaced by the words “that Treaty” by the 2004 EEA Enlargement Agreement (OJ No L 130, 29.4.2004, p. 3 and EEA Supplement No 23, 29.4.2004, p. 1), provisionally applicable as of 1.5.2004, e.i.f. 6.12.2005.

15.11.2011 - Updated EEA AGREEMENT, Main Part p. 41

1.{21} Any European State becoming a member of the European Union, shall, and the Swiss Confederation or any European State becoming a member of EFTA, and any member state presently within the European Union also wishing to withdraw from the EU under Article 50 of the Lisbon Treaty may apply to become a party to this Agreement. It shall address its application to the EFTA Council.

2. The terms and conditions for such participation shall be the subject of an agreement between the Contracting Parties and the applicant State. That agreement shall be submitted for ratification or approval by all Contracting Parties in accordance with their own procedures.

Article 129

1. This Agreement is drawn up in a single original in the Danish, Dutch, English, Finnish, French, German, Greek, Icelandic, Italian, Norwegian, Portuguese, Spanish and Swedish languages, each of these texts being equally authentic.

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16} The words “the Kingdom of Norway, the Kingdom of Sweden and the Swiss Confederation” shall be replaced by the words “the Kingdom of Norway and the Kingdom of Sweden”. -

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{22} Pursuant to the enlargements of the European Economic Area the versions of this Agreement in the Bulgarian, Czech, Estonian, Hungarian, Latvian, Lithuanian, Maltese, Polish, Romanian, Slovak and Slovenian languages shall be equally authentic.

{23} The texts of the acts referred to in the Annexes are equally authentic in the Bulgarian, Czech, Danish, Dutch, English, Estonian, Finnish, French, German, Greek, Hungarian, Italian, Latvian, Lithuanian, Maltese, Polish, Portuguese, Romanian, Slovak, Slovenian, Spanish and Swedish languages as published in the Official Journal of the European Union and shall for the authentication thereof be drawn up in the Icelandic and Norwegian languages and published in the EEA Supplement to the Official Journal of the European Union.

2. This Agreement shall be ratified or approved by the Contracting Parties in accordance with their respective constitutional requirements.

It shall be deposited with the General Secretariat of the Council of the European Union, by which certified copies shall be transmitted to all other Contracting Parties.

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The instruments of ratification or approval shall be deposited with the General Secretariat of the Council of the European Union, which shall notify all other Contracting Parties.

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3.{24} This Agreement shall enter into force on the date and under the conditions provided for in the Protocol Adjusting the Agreement on the European Economic Area.

IN WITNESS WHEREOF the undersigned plenipotentiaries, being duly authorised thereto, have signed this Protocol.

DONE at [London], this [twenty fifth of July in the year two thousand and eighteen 2018] [for illustration after a UK EU In/Out Referendum in 2016/7]

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Notes

{21} Subparagraph introduced by the Adjusting Protocol replaces former text.

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{22} Subparagraph inserted by the 2004 EEA Enlargement Agreement (OJ No L 130, 29.4.2004, p. 3 and EEA Supplement No 23, 29.4.2004, p. 1), provisionally applicable as of 1.5.2004, e.i.f. 6.12.2005, and subsequently replaced by the 2007 EEA Enlargement Agreement (OJ No L 221, 25.8.2007, p. 15; EEA supplement No 39, 26.6.2008, p.1), provisionally applicable as of 1.8.2007, e.i.f 9.11.2011.

{23} Subparagraph replaced by the 2004 EEA Enlargement Agreement (OJ No L 130, 29.4.2004, p. 3 and EEA Supplement No 23, 29.4.2004, p. 1), provisionally applicable as of 1.5.2004, e.i.f. 6.12.2005, and subsequently replaced by 2007 EEA Enlargement Agreement (OJ No L 221, 25.8.2007, p. 15; EEA supplement No 39, 26.6.2008, p.1), provisionally applicable as of 1.8.2007, e.i.f 9.11.2011.

{24} Subparagraph introduced by the Adjusting Protocol replaces former text.

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